

Priority	Audit Title	2014/15 Days	Position as at end August	Opinion
	Carry Over Work			
	Completion of Reviews in Progress as at 31 March 2014	120		
	2013-14 Accounts Payable Phase 2		Final report issued	Adequate
	2013-14 Council Tax Phase 2		Final report issued	Adequate
	2013-14 NDR Phase 2		Final report issued	Adequate
	2013-14 Asset Management/Capital Accounting		Draft report issued	
	2013-14 Main Accounting System Phase 2		Final report issued	Adequate
	2013-14 Payroll Phase 2		Final report issued	Adequate
	2013-14 SWIFT Financials		Final report issued	Adequate
	2013-14 SAP Access and Security		Fieldwork in progress	
	2013-14 IT Disaster Recovery		See paragraph 14 of main report.	
	ICT Contract Management		Fieldwork in progress	
	Recruitment Controls (including vetting)		Draft report issued	
	Data Quality- Customer Satisfaction for Roads and Pavements		Draft report issued	
	Data Quality- Invitations to Health Screening		Draft report issued	
	Data Quality- Visits to Libraries		Scoping in progress	
	Teachers' Pensions		Final report issued.	Limited
	Officers Hospitality and Gifts - Follow Up		Final report issued	Unsatisfactory progress
	Members Hospitality and Gifts - Follow Up		Final report issued	Satisfactory progress
	ICT Governance Phase 2		Draft report issued	
	Corporate Financial Management		Fieldwork completed	
	Domiciliary Care Framework Agreement		Scoping in progress	
	SCHH Financial Management		Fieldwork in progress	
	Section 278 Agreements		Report drafted	
	Pro Active Anti Fraud- Expense Claims		Fieldwork in progress	
	Pro Active Anti Fraud - Timesheets		Scoping in progress	
	Lawnside Lower School		Final report issued	Adequate
	Arlesey Nursery		Final report issued	Adequate
	Total - Carry Over Work	120		
	Fundamental Systems			
H	Accounts Payable/Purchase Ledger (including feeder systems)	35	Fieldwork in progress	
H	Accounts Receivable/Sales Ledger	30	Fieldwork in progress	
H	Asset Management (incl. Asset Register)/Capital Accounting	30	Not yet started	
H	Council Tax (including Council Tax Support Scheme)	35	Scoping in progress	

Priority	Audit Title	2014/15 Days	Position as at end August	Opinion
H	Housing Benefits	40	Scoping in progress	
H	Main Accounting Systems (MAS)	30	Fieldwork in progress	
H	National Non Domestic Rates NNDR	35	Scoping in progress	
H	Payroll	35	Fieldwork in progress	
H	SWIFT Financials	15	Not yet started	
H	Treasury Management	25	Scoping in progress	
H	Housing Rents including tenant arrears	25	Fieldwork in progress	
H	Cash And Banking (Non Invoiced Income)	20	Scoping in progress	
Total - Fundamental Systems		355		
Assurance Audits - Improvement and Corporate Services				
M	Data Quality	15	Scoping in progress	
H	Information Governance - application of framework	15	Scoping in progress	
M	Corporate Governance Reviews	15	Not yet started	
M	Public Health data - assurance on Information Management	15	Not yet started	
M	Application Reviews	15	Scoping in progress	
M	Customer First Information Security	15	Not yet started	
H	SAP Master Data Maintenance post ESS/MSS	15	Scoping in progress	
H	IT Disaster Recovery	10	Not yet started	
H	SAP Access and Security	10	Not yet started	
M	Corporate Asset Management Strategy	15	Scoping in progress	
H	Compliance- Assets	15	Scoping in progress	
M	Asset Management System	15	Scoping in progress	
Total- Improvement and Corporate Services		170		
Assurance Audits - Finance				
H	Impact of Welfare Reform	15	Scoping in progress	
M	Adherence to Procurement Procedures	15	Scoping in progress	
L	Sickness Absence Pool	5	Scoping in progress	
Total - Finance		35		
Assurance Audits - Children's Services				
M	Schools General - School Improvement	80	Ongoing throughout year.	
H	School Transport	15	Scoping in progress	
H	Troubled Families Grant	15	Fieldwork in progress	
Total - Children's Services		110		
Assurance Audits - Social Care, Health and Housing				
M	Housing Repairs	20	Not yet started	
H	Direct Payments	15	Not yet started	
M	Housing Tenancy Management	15	Fieldwork in progress	

Priority	Audit Title	2014/15 Days	Position as at end August	Opinion
M	Residential Care Homes	20	Fieldwork in progress (consultancy)	
M	Care Homes - Accreditation Scheme for Dementia	10	Not yet started	
Total - Social Care, Health and Housing		80		
Assurance Audits - Contracts and Partnerships				
M	Contract Management	20	Not yet started	
Total - Contracts and Partnerships		20		
Assurance Audits - Public Health				
M	Public Health compliance with best practice	15	Scoping in progress	
Total - Public Health		15		
Special Investigations				
M	National Fraud Initiative (NFI)	20	Ongoing throughout year	n/a
M	Special Investigations	40	Ongoing throughout year.	One investigation supported
	Pro Active Anti Fraud:			
M	Internet and Email systems	10	Scoping in progress	
M	Voluntary funds	10	Not yet started	
M	Cash income	10	Fieldwork in progress	
Total - Special investigations		90		
Ad Hoc Consultancy etc,				
H	Risk Management Activities	35	Ongoing throughout year	
M	Major projects - Consultancy	40	Ongoing throughout year	
M	Supporting Annual Governance Statement	5	2013/14 statement drafted.	n/a
M	Audit of Individual Grants	20	Ongoing throughout year	Troubled Families, Winter Pressures and Reablement grants reviews progressing..
M	General Advice	20	Ongoing throughout year	
M	Head of Audit Chargeable Against Plan	60	Ongoing throughout year	n/a
M	Assurance Mapping Review	5	Ongoing throughout year	n/a
M	Benchmarking Exercise	5	Completed	n/a
L	Contingency	75		n/a
Total - Ad Hoc Consultancy etc.		265		
TOTAL CHARGEABLE DAYS REQUIRED		1260		